

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 3 of 13

This listing of claims will replace all prior versions and listings of claims in the Application.

LISTING OF CLAIMS:

1. (Currently Amended) A system for monitoring analysts' estimates, comprising:

means for storing on a per analyst basis, current estimate data for a plurality of analysts, the current estimate data including at least an estimate amount and when the estimate was made;

means for analyzing the current estimate data and determining when one or more alert conditions are satisfied; and

means for issuing an alert when at least one predetermined alert condition is satisfied for at least one analyst.
2. (original) The system of claim 1, wherein one alert condition is based on whether an analyst's estimate is older than a predetermined amount of time.
3. (Previously Presented) The system of claim 1, wherein one alert condition is based on whether an analyst's estimate is older than a date associated with a detected cluster of estimate revisions.

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 4 of 13

4. (Currently Amended) The system of claim 3 1, ~~wherein the system~~ further comprises comprising:

means for defining conditions that constitute a cluster of estimate revisions, detecting a cluster of estimate revisions, and issuing at least one alert ~~condition~~ when an analyst's estimate is older than a date associated with a detected cluster of estimate revisions.

5. (original) The system of claim 4, wherein the at least one predetermined alert condition is based on whether an analyst's estimate is more than a predetermined period of time older than a date associated with a detected cluster of estimate revisions.

6. (original) The system of claim 1, wherein one alert condition is based on whether an analyst's estimate differs from a summary metric of a plurality of analysts' estimates by a predetermined value.

7. (original) The system of claim 6, wherein the summary metric comprises a measure of central tendency, the measure of central tendency comprising at least one of a mean, median, or mode.

8. (original) The system of claim 6, wherein the summary metric comprises a conditional summary metric qualified by at least one qualifying metric.

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 5 of 13

9. (original) The system of claim 8, wherein the at least one qualifying metric comprises at least one of a predetermined time period, a particular security, or a group of securities.

10. (original) The system of claim 6, wherein the predetermined value is more than "n" standard deviations away from a mean estimate, wherein "n" is an integer.

11. (original) The system of claim 6, wherein the predetermined value comprises a currency unit.

12. (original) The system of claim 6, wherein the predetermined value comprises a percentage.

13. (Currently Amended) The system of claim 1, ~~wherein the system comprises~~ further comprising:

means for displaying an analyst, the analyst's estimates, and simultaneously displaying an alert indicator in association with an ~~individual~~ analyst's estimate ~~if the~~ estimate that satisfies at least one alert condition.

14. (Currently Amended) The system of claim 13, ~~wherein the system comprises~~ further comprising:

means for further displaying data associated with the at least one alert condition.

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 6 of 13

15. (Currently Amended) The system of claim 14, wherein the data associated with the at least one alert condition comprises ~~at least one of~~ an indication of the at least one alert condition ~~or conditions that triggered the alert~~.

16. (Currently Amended) The system of claim 14, wherein the data associated with the at least one alert condition comprises data relating to when the at least one alert condition was triggered.

17. (Currently Amended) The system of claim 14, wherein the data associated with the at least one alert condition comprises an indication of the at least one alert condition ~~or conditions that triggered the alert~~ and when the at least one alert condition was triggered.

18. (Currently Amended) The system of claim 1, ~~wherein the system comprises~~ further comprising:

means for displaying a list of a plurality of analysts' estimates, where the list comprises a group of analysts for which there is at least one satisfied alert condition.

19. (original) The system of claim 18, wherein the group of analysts further comprises at least one of analysts associated with a particular firm, or analysts with estimates in a particular industry.

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 7 of 13

20. (Currently Amended) The system of claim 1, ~~wherein the system comprises~~ further comprising:

means for displaying a list of a plurality of analysts' estimates, wherein the list consists of analysts for which there is at least one satisfied alert condition[[,]]; and

~~further comprising~~ means for displaying data associated with the at least one satisfied alert condition.

21. (original) The system of claim 1, further comprising means for enabling a user to view all alerts associated with at least one analyst.

22. (original) The system of claim 1, further comprising means for enabling a user to view selected alerts associated with at least one analyst.

23. (original) The system of claim 1, further comprising means for enabling a user to view all alerts associated with at least one analyst for at least one selected time period.

24. (original) The system of claim 1, further comprising means for enabling a user to view selected alerts associated with at least one analyst for at least one selected time period.

25. (Currently Amended) The system of claim 1, further comprising means for enabling a user to define ~~wherein alert conditions are defined by a user of the system.~~

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 8 of 13

26. (Currently Amended) The system of claim 1, further comprising means for enabling a user to ~~wherein a user specifies~~ specify an action to be taken when an alert condition is satisfied.

27. (original) The system of claim 26, wherein the action comprises issuing an alert message to a predetermined device.

28. (Previously Presented) The system of claim 26, wherein the action comprises issuing an alert message by at least one of an e-mail message, phone, fax, or pager.

29. (Currently Amended) The system of claim 1, wherein an alert message is sent to a specified recipient when an the at least one predetermined alert condition is satisfied for an ~~estimate made by an~~ the at least one analyst.

30. (original) The system of claim 29, wherein the specified recipient is the analyst.

31. (original) The system of claim 29, wherein the specified recipient is someone associated with the analyst.

32. (Currently Amended) The system of claim 1, further comprising means for enabling ~~wherein a user specifies~~ to specify the frequency with which the system should check for determining when one or more alert conditions ~~being~~ are satisfied.

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 9 of 13

33. (Currently Amended) The system of claim 1, further comprising means for enabling wherein a user specifies to specify how often the system should issue user alerts that at least one alert condition has been satisfied.

34. (original) The system of claim 1, wherein the estimate relates to an estimate of a security's earnings estimate for a fiscal period.

35. (original) The system of claim 34, wherein the fiscal period comprises at least one of a fiscal quarter, plurality of fiscal quarters, fiscal year, or a plurality of fiscal years.

36. (original) The system of claim 1, wherein the estimate comprises a financial projection.

37. (Currently Amended) The system of claim 1, further comprising means for enabling wherein an analyst has the capability of changing to change or confirming confirm an estimate in response to an alert.

38. (original) The system of claim 37, wherein an alert indicator changes when an analyst has taken action in response to the alert.

Applicant: GATTO
Serial No: 09/893,460
Filing Date: June 29, 2001
Page: 10 of 13

39. (Previously Presented) The system of claim 37, wherein a different visual representation of an alert indicator is provided depending on an action taken in response to the alert.

40. (Currently Amended) A computer-implemented method for monitoring analysts' estimates, comprising the steps of:

storing on a per analyst basis, current estimate data for a plurality of analysts, the current estimate data including at least an estimate amount and when the estimate was made;

analyzing the current estimate data and determining when one or more alert conditions are satisfied; and

issuing an alert when at least one predetermined alert condition is satisfied for at least one analyst.